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PRESS RELEASE

GAM Holding with best result for a financial services provider in Inrate's zRating Corporate Governance Study

- GAM Holding top-ranked financial services provider
- GAM Holding ranked 17th in the overall ranking of all companies listed in Switzerland with 71 out of 100 possible points
- Study recognises GAM Holding's improvements in compensation models since 2017

"We are very pleased with the result of the zRating study, which recognises our efforts in recent years to establish exemplary corporate governance. For financial service providers, assessing corporate governance of the company is part of the core business. It is therefore crucial for us as a listed company to set an example. Being named the best-rated financial services provider in Switzerland is both a confirmation and an incentive for us to strive for a leading position in everything we do and to create value for our clients, shareholders and employees," said David Jacob, Chairman of GAM.

The zRating of Inrate AG was published for the 12th time. 172 listed Swiss companies were analysed on the basis of the Annual Reports 2019 and Annual General Meetings 2020. The zRating evaluates 63 criteria in the categories "Shareholders and capital structure", "Shareholders' participation rights", "Composition of the Board of Directors (BoD)/Management Board (BoM) and information policy" and "Compensation and participation models BoD/BoM" and weights them with a scoring model. The maximum achievable score across all four categories is 100 points.

About Inrate

<u>Inrate AG</u> is the independent Swiss sustainability rating agency. Since 1990, it has been helping clients develop and successfully implement innovative sustainability solutions with profound sustainability knowledge and research solutions. The ratings measure the impact a company's behaviour and products have on society and the environment, as well as its willingness and ability to tackle the challenges it faces.

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About GAM

GAM is a leading independent, pure-play asset manager. The company provides active investment solutions and products for institutions, financial intermediaries and private investors. The core investment business is complemented by private labelling services, which include management company and other support services to third-party asset managers. GAM employed 747 FTEs in 14 countries with investment centres in London, Cambridge, Zurich, Hong Kong, New York, Milan and Lugano as at 30 June 2020. The investment managers are supported by an extensive global distribution network. Headquartered in Zurich, GAM is listed on the SIX Swiss Exchange with the symbol 'GAM'. The Group has AuM of CHF 119.4 billion¹ (USD 126.0 billion) as at 30 June 2020.

Important legal information

Group rankings may not be representative of any one client's experience and is not indicative of the future performance of GAM or any GAM product. The information in this document is given for information purposes only and does not qualify as investment advice. Opinions and assessments contained in this document may change and reflect the point of view of GAM in the current economic environment. No liability shall be accepted for the accuracy and completeness of the information. Past performance is no indicator for the current or future development. All financial investments involve an element of risk. Therefore, the value of the investment and the income from it will vary and the initial investment amount cannot be guaranteed.

¹ Including CHF 0.3 billion of money market funds as at 31 December 2019, which GAM agreed to sell to ZKB as announced with the H1 2019 results.