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PRESS RELEASE

GAM Investments granted registration as discretionary investment management business in Japan

GAM Investments today announced that it has been granted registration as a discretionary investment management and investment advisory and agency business by the Financial Services Agency in Japan.

This permits GAM to directly engage with institutions in Japan, such as pension funds, for the purpose of managing their assets through a discretionary investment management scheme. The firm already holds a Type I Financial Instruments Business (securities licence), which permits direct marketing of its funds in Japan.

GAM has had an office in Tokyo since 1997, and has been expanding business in the country recently. Last year the Group hired Shizu Kishimoto from Schroder Investment Management Japan to lead its sales and oversee business operations in the country and plans to further expand its capabilities there.

GAM is an independent global asset management firm, founded in 1983, focused on truly active management of differentiated investment solutions. Collectively, GAM manages CHF 162.3 billion in assets for institutions, financial intermediaries and private investors, as at 31 March 2018. The company employs more than 900 people in 13 countries.

Shizu Kishimoto, representative director and president of GAM Japan Limited, said: “Being granted this licence by the regulator is an important milestone for us. We look forward to engaging directly with institutions, including pension funds, about our innovative and differentiated investment offering. We believe that we can add substantial value to institutional investors through our specialist strategies in fixed income, high active share equity and systematic solutions.”

Rossen Djounov, head of Asia at GAM, said: “This is another important development as we continue to build our business in this strategically important market and as we extend our reach in Asia. We are committed to the Japanese market and to providing best-in-class support and servicing capabilities to Japanese investors.”

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About GAM Japan Limited

GAM Japan Limited was incorporated in 1997 and is fully owned by GAM Holding AG. GAM Japan Limited is registered as Type I Financial Instruments Business and acts as an intermediary, broker, agent, or solicitor with respect to foreign investment funds and strategies formed or operated by group companies abroad.

About GAM

GAM is one of the world's leading independent, pure-play asset managers. The company provides active investment solutions and products for institutions, financial intermediaries and private investors. The core investment business is complemented by private labelling services, which include management company and other support services to third-party asset managers. GAM employs over 900 people in 13 countries with investment centres in London, Cambridge, Zurich, Hong Kong, New York, Milan and Lugano. The investment managers are supported by an extensive global distribution network.

Headquartered in Zurich, GAM is listed on the SIX Swiss Exchange and is a component of the Swiss Market Index Mid (SMIM) with the symbol 'GAM'. The Group has assets under management of CHF 162.3 billion (USD 169.4 billion) as at 31 March 2018.

Important legal information

The information in this document is given for information purposes only and does not qualify as investment advice. Opinions and assessments contained in this document may change and reflect the point of view of GAM in the current economic environment. No liability shall be accepted for the accuracy and completeness of the information. Past performance is no indicator for the current or future development.